

Wholesale Declaration Certificate

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Chapter 7 of the Corporations Act 2001

The Certificate must be completed by a qualified Accountant if the Person is to be classified as a wholesale client under section 761G(7)(c) of the Corporations Act 2001.

| Client Details | |
|--|--|
| Full Name: | |
| Address: | |
| Email: | Phone: |
| Accountant Details | |
| I certify that the Person whose details are set out above: | |
| has net assets of at least A\$2.5 million; or has a gross income for each of the last 2 financial years of at least A\$250,000. | |
| I belong to: [name of professional body] | |
| List of Qualified Professional Bodies | |
| Professional Body | Declared Membership Classifications |
| The Institute of Charted Accountants in Australia | CA, ACA and FCA |
| CPA Australia | CPA and FCPA |
| Institution of Public Accountants (IPA) | AIPA, MIPA and FIPA |
| Eligible foreign professional bodies Institute of Chartered Accountants of New Zealand; The American Institute of Certified Public Accountants; Canadian Institute of Chartered Accountant; Association of Certified Chartered Accountants (United Kingdom); The Institute of Chartered Accountants in England and Wales; The Institute of Chartered Accountants in Ireland; The Institute of Chartered Accountants in Scotland. | Under ASIC's declaration a person is also a qualified accountant if they are a member of one of the eligible foreign professional bodies listed and they: have at least three years' practical experience in accounting or auditing, and are only providing a certificate for the purpose of ss708(8)(c) and 761G(7)(c) of the Corporation act to a person who is resident in the same country (other than Australia) as the investor. |
| My membership designation from this professional body is: I am bound by this professional body's continuing professional educational requirements. I acknowledge this certificate is valid for 2 years from the date of issue. | |
| Accountant | |
| Full Name: | |
| Address: | |
| Signature: | Date certificate issued: |

Please return the completed certificate to pro@thinkmarkets.com