

Statutory Disclosure in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002 ('FAIS').

Name of FSP	TF GLOBAL MARKETS (SOUTH AFRICA) (PTY) LTD
Trading as	ThinkMarkets
FSP License Number	49835
Registration number	2017/098181/07
Physical Address	4 <sup>th</sup> Floor, 96 Rivonia Road, Sandton, 2196
Postal Address	4 <sup>th</sup> Floor, 96 Rivonia Road, Sandton, 2196
Telephone	080 099 5030; +27 10 035 4209
Email	support@thinkmarkets.com
Website	www.thinkmarkets.com/za

The licence authorizes the licensee to carry on business in respect of Financial Advisory Services as Financial Service Provider in respect of the following products:

Category Description	Intermediary Scripted	Intermediary Other
CATEGORY I		
Derivative instruments	X	X
Short-term deposits	X	X

ThinkMarkets has a Conflict of Interest policy which can be viewed on the website.

If you feel that your rights have been prejudiced, or you have been aggrieved in any way, you have the right to lodge a complaint. Our complaints process is available on the website. You may additionally contact the compliance department via email on [compliance@thinkmarkets.com](mailto:compliance@thinkmarkets.com). If you are unsatisfied with the internal process, you may escalate the process to the FAIS Ombud or if still unsatisfied to the Registrar at the Financial Sector Conduct Authority.

The FAIS Ombud contact information is below:

PO Box 74571, Lynwood Ridge, 0040

Tel: +27 12 762 5000 / +27 12 470 9080

Fax: +27 86 764 1422 / +27 12 348 3447

Email: [info@faisombud.co.za](mailto:info@faisombud.co.za)

Compliance Officer: Mr Warren Neale, Tel no.: 0829236260 Fax no.: 0866631472 E-mail: [warren@askaricompliance.com](mailto:warren@askaricompliance.com).

External Compliance Officer: Askari Compliance Services cc, Registration No 2007/155193/23 FSB 4863, Contact: Warren Neale, Tel: 082 923-6260 Fax: 086 633-1472, E-mail: [warren@askaricompliance.com](mailto:warren@askaricompliance.com)

ThinkMarkets holds professional indemnity cover arranged with AC&E as prescribed by FAIS.